



Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Alfonso de Jesus Valdes, et al. Art Unit : 2162
Serial No. : 09/944,788 Examiner : Unknown
Filed : August 31, 2001
Title : PROBABILISTIC ALERT CORRELATION

Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JAN 29 2002
Technology Center 2100

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to include the correct priority data, as follows:

Replace "60/287,514 04/30/2001" with --60/278,514 03/23/2001--.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050, Order No. 10454-022001.

Respectfully submitted,

Date:

January 2, 2002

Kenneth F. Kozik

Kenneth F. Kozik
Reg. No. 36,572

Fish & Richardson P.C.
225 Franklin Street
Boston, Massachusetts 02110-2804
Telephone: (617) 542-5070
Facsimile: (617) 542-8906

20338544.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 2, 2002
Date of Deposit

Diane M. Miles
Signature

Diane M. Miles

Typed or Printed Name of Person Signing Certificate



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/944,788	08/31/2001	2161	870	10454- 022001/P- 4190-4	9	6	5

CONFIRMATION NO. 1821

FILING RECEIPT



OC000000008852690

 DAVID L. FEIGENBAUM
 Fish & Richardson P.C.
 225 Franklin Street
 Boston, MA 02110-2804

 RECEIVED
 JAN 29 2002
 Technology Center 2100

Date Mailed: 10/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Alfonso De Jesus Valdes, San Carlos, CA;
 Keith Skinner, Sunnyvale, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/711,323 11/09/2000

WHICH IS A CIP OF 09/653,066 09/01/2000

WHICH CLAIMS BENEFIT OF 60/287,514 04/30/2001

(*) Data inconsistent with PTO records.

60/278,514 3/23/01

RECEIVED

Foreign Applications

OCT 09 2001

If Required, Foreign Filing License Granted 10/03/2001

 FISH & RICHARDSON, P.C.
 BOSTON OFFICE

Projected Publication Date: 03/07/2002

Non-Publication Request: No

Early Publication Request: No

Title

Probabilistic alert correlation

Kym 10/19/01

BEST AVAILABLE COPY

**RECEIVED**

JAN 29 2002

Technology Center 2100

RECEIVED BY THE U.S. PATENT & TRADEMARK OFFICE

☒ Provisional
Application entitled PROBABILISTIC ALERT CORRELATION

Inventor Valdes, Alfonso de Jesus, et a No. of Pages 18
Sheet(s) of formal/informal drawings

☒ Small-Entity Status Declaration
☐ Information Disclosure Statement
☐ Amendment/Response
☐ Issue Fee & Fee for Patent Copies
☐ Check for \$

☒ Other: Transmittal letter (original & 2 copies) +
this postcard.

Jc996 U.S. PTO

60/278514

03/23/01

Serial No. Filed March 23, 2001
Express Mail Label No. EK695165936US SRI Docket No. US 4485-2P

BEST AVAILABLE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 1821

SERIAL NUMBER 09/944,788	FILING DATE 08/31/2001 RULE	CLASS 705	GROUP ART UNIT 2161	ATTORNEY DOCKET NO. 10454-022001/P-4190-4
APPLICANTS Alfonso De Jesus Valdes, San Carlos, CA; Keith Skinner, Sunnyvale, CA;				
** CONTINUING DATA ***** THIS APPLICATION IS A CIP OF 09/711,323 11/09/2000 WHICH IS A CIP OF 09/653,066 09/01/2000 WHICH CLAIMS BENEFIT OF 60/278,514 03/23/2001				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 10/03/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged <u> </u> Examiner's Signature <u> </u> Initials		STATE OR COUNTRY CA	SHEETS DRAWING 9	TOTAL CLAIMS 6
INDEPENDENT CLAIMS 5				
ADDRESS DAVID L. FEIGENBAUM Fish & Richardson P.C. 225 Franklin Street Boston ,MA 02110-2804				
TITLE Probabilistic alert correlation				
FILING FEE RECEIVED 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Alfonso de Jesus Valdes, et al. Art Unit : Unknown
Serial No. : 09/944,788 Examiner : Unknown
Filed : August 31, 2001
Title : PROBABILISTIC ALERT CORRELATION

Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JAN 29 2002
Technology Center 2100

REQUEST FOR REFUND

On or about August 31, 2001, Applicants paid the required fees for a utility patent application by way of an enclosed check in the amount of \$870.

The applicant is entitled to small entity status. Thus, the amount due for payment of the filing fee was \$454. Applicants respectfully request that the difference in amount of \$416 be refunded to Fish & Richardson's Deposit Account No. 06-1050, Order No. 10454-022001 as a credit.

Respectfully submitted,

Date:

January 2, 2002

Kenneth F. Kozik

Kenneth F. Kozik
Reg. No. 36,572

Fish & Richardson P.C.
225 Franklin Street
Boston, Massachusetts 02110-2804
Telephone: (617) 542-5070
Facsimile: (617) 542-8906

20338476.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

January 2, 2002
Diane M. Miles

Signature

Diane M. Miles

Typed or Printed Name of Person Signing Certificate